

MUS Risk and Compliance Leadership Council Charter

Definition of Enterprise Risk Management

ERM is a disciplined process to identify, assess, respond to and report on key risks/opportunities to advance the Montana University System (MUS) mission and create value for stakeholders. ERM helps inform MUS senior management and the Board of Regents (BoR) of the most critical risks to the strategic success of the MUS.

Mission

The MUS Risk and Compliance Leadership Council will build a common view of enterprise risks and risk management strategies that cannot effectively be achieved by the efforts of a single campus. The Council will communicate information and recommendations to MUS leadership and the Board of Regents so they may make risk-informed decisions.

Membership

The MUS Risk and Compliance Leadership Council is composed of senior leaders whose roles within MUS campuses and the Commissioner's Office allow informed insights regarding risk and compliance within and across their areas of responsibility.

Responsibilities

In performing its advisory responsibilities, the MUS Risk and Compliance Leadership Council shall generally discuss and recommend appropriate courses of action in the following areas:

- Provide leadership in the identification, management, and monitoring of cross-organizational risk issues
- Monitor common external and internal forces and factors such as legislation and regulatory proposals that influence the MUS's risk enterprise landscape
- Consider systems and processes to provide education about and accountability for compliance with legal, ethical, and policy obligations
- Assist in the development of risk management strategies (to include mitigation, avoidance, sharing, or acceptance). Provide guidance on possible courses of action such as communication systems, education/training, new positions, or new policy or procedures
- Provide a forum for the exchange of practices and ideas
- Establish and maintain reciprocal communication with their campus constituent groups regarding relevant risk and compliance topics
- Assist in the identification and elimination of functional, cultural, and departmental barriers in dealing with risks
- Promote and advance risk awareness, and the notion that the management of risks is an ongoing responsibility and a collaborative effort for all in the MUS
- Continuously assess MUS risk management strategies to assure they remain current with regulatory, operational, and legal changes as well as MUS business objectives

Campus Risk/Compliance Workgroup

To identify, manage and monitor campus specific risks and promote the notion that the management of risks is an ongoing responsibility and a collaborative effort for all in the MUS, each campus shall:

- Designate a Risk Officer and assemble a campus Risk/Compliance Working Group that will create a disciplined campus process to identify, recommend, and facilitate risk management strategies aligned with the MUS and campus mission.
- Through their Leadership Council liaison/risk officer, share practices and report to the Risk and Compliance Leadership Council on risk and compliance processes and work products

Meetings

The MUS Risk and Compliance Leadership Council will meet semi-annually and more often as topics or issues arise in need of focus.

Leadership Council Members

Office of the Commissioner of Higher Education

- Tyler Trevor, Deputy Commissioner for Budget & Planning, Chief of Staff
- Helen Thigpen, Deputy Commissioner for Government Relations & Public Affairs
- Jessica Weltman, MUS Compliance Officer
- Margaret Wallace, Director of Assurance and Enterprise Risk (ex officio)

Montana State University (MSU)

- Terry Leist, Vice President Administration & Finance
- Ryan Knutson, Vice President for Information Technology
- Jeannette Grey Gilbert, Chief Human Resources Officer
- Camie Bechtold, Chief Compliance Officer
- Matt Caires, Dean of Students
- Brad White, Director of Audit Services (ex officio)

MSU – Billings

- Leslie Weldon, Interim Vice Chancellor for Administration and Finance

MSU – Northern

- Darci Hertz, Vice Chancellor of Administration and Finance

MSU – Great Falls

- Carmen Roberts, Executive Director of Operations

University of Montana (UM)

- Paul Lasiter, Vice President for Administration and Finance
- Terri Phillips, Associate Vice President, Human Resource Services
- Zach Rossmiller, Chief Information Officer
- Kate Duran, Associate Legal Counsel
- Leslie Webb, Vice Provost for Campus Life
- Paula Short, Associate Vice President of Campus Preparedness and Response
- Anta Coulibaly, Internal Audit and Enterprise Risk Director (ex officio)

Helena College/UM Western

- Tricia Fiscus, Vice Chancellor for Administration & Finance (UMW) and Assistant Dean of Administrative Affairs (HC)

MT Tech

- Ron Muffick, Vice Chancellor of Administration and Finance