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## SUBMISSION FORM

### University System/Employee Intellectual Property Joint Participation MUSP 407

This form is to be submitted with any Board of Regents item whereby a campus seeks the approval of an agreement with or arrangement regarding an employee pursuant to 20-25-109 MCA and Regents Policy 407.

When the submission concerns matters of trade secrets or confidential business information, or any other matter entitled to privacy under state or federal law (e.g., the federal statute known as Bayh/Dole) the submitting campus may request consideration of the submission, in whole or in part, in executive session.

The submitting campus should also provide the Commissioner a copy of the contract(s) that form the basis for the cooperative arrangement for which approval is sought. Submission of the contract does not indicate a conclusion that all or part of the contract is a public document and the question of whether it is in whole or in part protected from public disclosure will be evaluated on a case by case basis.

1. Summarize the nature of the intellectual property that was developed by the employee seeking approval. Indicate the sources of funding for the research that resulted in this invention.

Formal invention disclosures were completed for the MENU-AIDDs, Wise Choices Pyramid, and Food on Film intellectual property and related copyrights are registered with the federal government. The products are nutrition teaching and support materials and methods to promote good health in people with disabilities. This research was initially funded through a cooperative agreement with the Centers for Disease Control and Prevention.

2. a. Name(s) of the university employee(s) involved.

Dr. Kathleen Humphries

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- b. Name(s) of business entity(ies) involved.

Good Nutrition Ideas, LLC, a Montana limited liability company.

3. The university and employee(s) are seeking approval for (check as many as appropriate):

- a. The employee to be awarded equity interest in the business entity.
- b. The employee to serve as a member of the board of directors or other governing board of the business entity.

c. The employee to accept employment from the business entity.

4. How will approval of this relationship contribute to the objectives of the university's technology transfer and intellectual property development programs?

By allowing this University-private sector relationship to be established, intellectual property established and developed by the University affiliate can be used to grow a spin-off company, it will set an example for other entrepreneurs from the University looking for opportunities for commercialization of their innovations and creations, and it will generate revenue and goodwill for the University and its employees, in addition to the Montana private business development sectors.

The benefits to U of M and the State of Montana arising from current and proposed research activities of Good Nutrition Ideas, LLC are:

- Dr. Humphries will make available her successful grant applications to Dr. Tom Seekins' grant writing class.
- Good Nutrition Ideas will extend a non-exclusive, royalty-free license to The University of Montana for health promotion programming in the state of Montana through the Montana Disability and Health Program, a collaboration between the Montana Department of Health and Human Services and the University of Montana Rural Institute.
- Good Nutrition Ideas will continue to expand and improve the MENU-AIDDs and Food on Film products through the business and Dr. Humphries will continue to seek grant funding for research using these products through the UM. The UM will benefit by receiving the grants, including student support through graduate research assistantships, new staff positions, contribution toward faculty and affiliates' FTE, indirect costs, and reputation and prestige of the grant awards and successful research collaborations.

To date Dr. Humphries has funded nearly 100% of her salary for 15 years at the UM through external grants. These grants have also funded many staff and student positions. She anticipates continuing this relationship with the UM, particularly for training programs for students and collaborations with the disability researchers at the RI. She recently applied for and was awarded a Small Business Innovation Research grant. She and the Research and Training Center at the Rural Institute are in planning discussions to expand on the SBIR and write STTR grants in the near future.